

09-24-01

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UNITED STATES PATENT APPLICATION TRANSMITTAL FORM

09/21/01
JC928 U.S. PTO

**BOX PATENT APPLICATION
COMMISSIONER FOR PATENTS
Washington, D.C. 20231**

Docket No.: STL920000113US1

11017 U.S. PTO
09/26/01
09/21/01

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Steven Soria, Jr., Donald Allan Weil, Gerald Edward Kozina,
Chenhuei J. Chiang, Lynda Arnold Hansen, Vipin Shah Mayank and Thomas S. Lee

For: **IMPLEMENTING VERSIONING SUPPORT FOR DATA USING A
TWO-TABLE APPROACH THAT MAXIMIZES DATABASE
EFFICIENCY**

Enclosed are:

XXX Specification (26 pps.) consisting of: Description (18 pps); Claims (7 pps);
Abstract (1 pp);

XXX 3 sheets of drawings;

 Declaration and Power of Attorney;

 An assignment of the invention: **Assignee Name: INTERNATIONAL
BUSINESS MACHINES CORPORATION** **Assignee Residence:**
ARMONK, NEW YORK, including a \$40.00 recordation fee and
Assignment Recordation Form Cover Sheet;

 Information Disclosure Statement (with copies of citations);

 Form - PTO-1449;

 The undersigned attorney has verified that the application is entitled to a
Small Entity Status; and

 Priority of Serial No. , filed on is claimed
under 35 U.S.C. §119;

 A Request and Certification under 35 U.S.C. 122(b)(2)(B)(i);

09260769-092101

The Filing Fee is calculated below.

| CLAIMS AS FILED | | | | |
|---------------------------------|---------------------|---------------------|-------------|------------------------------|
| (1) For | (2) Number Filed | (3) Number Extra | (4) Rate | (5) Basic Fee \$710.00 |
| Total Claims | 43 - 20 = | 23 | x \$18.00 | \$414.00 |
| Independent Claims | 3 - 3 = | 0 | x \$80.00 | \$0.00 |
| Multiple Dependent Claim Fee | | x \$270.00 = \$0.00 | | |
| TOTAL FILING FEE | | \$1,124.00 | | |

| | |
|---------------------------------|--------|
| 1/2 FILING FEE FOR SMALL ENTITY | \$0.00 |
|---------------------------------|--------|

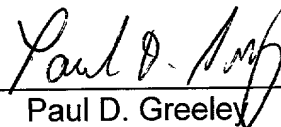
XXX No fee enclosed – filing by missing parts.

_____ A check in the amount of \$.00 for the filing fee (\$) and assignment recordal fee (\$) is enclosed.

XXX The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.

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September 21, 2001
Date of Signature

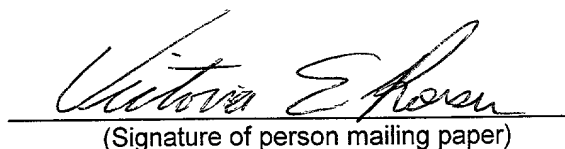


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CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Certificate No. **EV012135813US**, service under 37 CFR §1.10 and is addressed to: Box Patent Application, Commissioner for Patents, Washington, D.C. 20231 on September 21, 2001.

Victoria E. Roeser
(Typed name of person mailing paper)


(Signature of person mailing paper)